

REPORT TO:	Overview Committee
DATE:	5 th March 2024
SUBJECT:	Performance and Governance Framework – Quarter 3 Monitoring Report 2023/24
PORTFOLIO HOLDER:	Councillor Craig Leyland, Leader of the Council and Portfolio Holder for Corporate Affairs (performance management) Councillor Richard Fry, Portfolio Holder for Finance (risk management)
REPORT AUTHOR:	Richard Baldwin
WARD(S) AFFECTED:	All
EXEMPT REPORT	No

SUMMARY

The report brings together information relating to the Council’s Performance and Governance Framework at the end of Quarter 3 2023/24 (as at December 2023).

RECOMMENDATIONS

1. That the Overview Committee considers and notes the report

REASONS FOR RECOMMENDATIONS

To monitor delivery of performance and governance objectives and to support future planning and decision making within the Council.

OTHER OPTIONS CONSIDERED

Alternative reporting arrangements.

REPORT

Background

- 1.1 A joint performance management framework was agreed across the South & East Lincolnshire Councils Partnership for 2023/24 to support the delivery of services. Key Performance Indicators (KPIs) have been agreed to capture performance against the strategic priorities of the Partnership and the individual Councils.
- 1.2 A combined performance report has been presented to Strategic Leadership Team (SLT) and this information has then been split out by Council for Executive reporting.
- 1.3 This report presents the information for East Lindsey District Council for Quarter 3 of 2023/24 (as at December 2023).

Performance (Appendix A)

- 2.1 In total there are currently 77 KPIs for East Lindsey District Council, 34 of which are trend only indicators. 29 KPIs are meeting or exceeding their target, 4 KPIs are within tolerance, and 8 are below target. Two indicators are not currently available – one trend indicator and one target indicator. Indicators were developed to stretch performance in teams.

Risk management (Appendix B)

- 3.1 The strategic risk register has been reviewed for Q3, as at the end of December 2023. Workshops will be held with Assistant Directors in February/March to review all the risks against the new Framework and the Sub-Regional Strategy priorities for reporting in Q4.
- 3.2 A summary of the risks, scores and changes since the last report are set out in the table below, with full details in Appendix B.

Risk	Risk score
ELDC01: Budget	High (15)
ELDC03: Local economy	Medium (9)
ELDC04: Lincshire flood defence	High (10)
Change in Q3: Request to review risk score. This has been reviewed by the AD Planning. The Strategic Flood Risk Assessment (SFRA) is currently being updated. The outcome of that will provide a more up-to-date and accurate picture to enable us to review the risk score. This is due for completion early in 2024 so we will be able to review the risk score in Q1/2.	
ELDC05: Business continuity	High (15)
No change to risk score in Q3. Narrative reviewed and updated by risk owner.	
ELDC06: Health and Safety	Medium (6)
No change to risk score in Q3. Narrative reviewed and updated by risk owner.	
ELDC07: Local Plan	Medium (9)
ELDC08: Safeguarding	Medium (8)
ELDC09: Information	Medium (8)
ELDC10: Treasury and capital	Medium (8)
ELDC11: Service delivery	Medium (9)
ELDC12: Technology Infrastructure failure	High (10)
ELDC13: Cyber Incident	High (15)
ELDC14: Capital Programme	Medium (6)
ELDC15: General Fund Assets	Low (4)
ELDC16: Economic Hardship	High (12)

Risk	Risk score
ELDC17: Implementation of the Environment Act 2021	High (16)
ELDC18: Introduction of Extended Producer Responsibility	Medium (9)
ELDC19: Identification and Suitability of future Depot Accommodation	Critical (20)
ELDC20: Capacity	High (12)
ELDC21: External Communication	Medium (5)
ELDC22: Retention of staff	Medium (8)
ELDC23: Third Party Service Delivery	Medium (9)
ELDC24: Internal Communications	High (12)
ELDC25: Net Zero Target	Medium (8)
Change in Q3: New risk	

Risk Scoring Matrix						
Impact	Critical		4; 12	1; 5; 13		
	High	15	8; 9; 10; 22	16; 24	17	19
	Medium		6; 14; 21	3; 7; 11; 18; 23	20	
	Low				25	
	Minimal					
		Rare	Unlikely	Possible	Likely	Almost certain
Likelihood						

Risk Score	Colour
Minimal Risk	Green
Low Risk	Light Green
Medium Risk	Yellow
High Risk	Orange
Critical Risk	Red

3.3 The strategic risks to the partnership have also been reviewed for Q3, as at the end of December 2023. The partnership risks and scores are set out below, with full details in Appendix B.

Risk	Risk score
SELCP-01: Vision	Medium (9)
SELCP-02: Trust	Medium (9)
SELCP-03: Sovereignty	Medium (9)
SELCP-04: Takeover	Medium (9)
SELCP-05: Culture	Medium (9)
SELCP-06: LGR	High (12)
SELCP-07: Funding	High (16)
SELCP-08: Staffing	High (12)

Risk Scoring Matrix						
Impact	Critical					
	High				7	
	Medium			1; 2; 3; 4; 5	6; 8	
	Low					
	Minimal					
		Rare	Unlikely	Possible	Likely	Almost certain
Likelihood						

Risk Score	Colour
Minimal Risk	Green
Low Risk	Light Green
Medium Risk	Yellow
High Risk	Orange
Critical Risk	Red

CONCLUSION

The performance and governance reporting and review arrangements support the Council to manage its services in an effective and efficient manner.

EXPECTED BENEFITS TO THE PARTNERSHIP

A Partnership approach has been agreed for 2023/24.

IMPLICATIONS

SOUTH AND EAST LINCOLNSHIRE COUNCIL'S PARTNERSHIP

A Partnership approach has been agreed for 2023/24.

CORPORATE PRIORITIES

Whole report.

STAFFING

None specific to this report.

CONSTITUTIONAL AND LEGAL IMPLICATIONS

None specific to this report.

DATA PROTECTION

None specific to this report.

FINANCIAL

None specific to this report.

RISK MANAGEMENT

Section 3 of the report and Appendix B.

STAKEHOLDER / CONSULTATION / TIMESCALES

Consultation with SLT

REPUTATION

None specific to this report.

CONTRACTS

None specific to this report.

CRIME AND DISORDER

None specific to this report.

EQUALITY AND DIVERSITY/ HUMAN RIGHTS/ SAFEGUARDING

None specific to this report.

HEALTH AND WELL BEING

None specific to this report.

CLIMATE CHANGE AND ENVIRONMENTAL IMPLICATIONS

None specific to this report.

ACRONYMS

- 2Y: 2 year rolling period
- A&G: Audit & Governance Committee
- B&B: Bed & Breakfast accommodation
- BAU: Business As Usual
- CC: Customer Contact
- DD: Direct Debit
- KPIs: Key Performance Indicators
- LGR: Local Government Reorganisation
- Q: Quarterly (Q1: April to June; Q2: July to September; Q3: October to December; Q4: January to March)
- NDR: Non-domestic rates (business rates)
- R&B: Revenues & Benefits
- SLT: Senior Leadership Team
- YE: Year End (April to March)

APPENDICES

Appendices are listed below and attached to the back of the report: -

APPENDIX A	Q3 performance
APPENDIX B	Q3 risks

BACKGROUND PAPERS

No background papers as defined in Section 100D of the Local Government Act 1972 were used in the production of this report.

CHRONOLOGICAL HISTORY OF THIS REPORT

The Q3 strategic risks were reviewed at Executive Board on 14th February 2024

REPORT APPROVAL

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